



03-30-01  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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# 5  
RWB 8-1-01

In the Patent Application of:  
MILLS et al.

Our Ref.: P/3879-11  
Date: March 29, 2001  
Group Art Unit: --  
Examiner: --

Serial No.: 09/603,514

Filed: 6/23/00

For: CREDIT HANDLING IN AN ANONYMOUS TRADING SYSTEM

Assistant Commissioner of Patents  
Washington, D.C. 20231

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SUBMISSION

Sir:

<input checked="" type="checkbox"/>	Submitted herewith is a copy of art together with an art listing form listing the same for the convenience of the Examiner.
<input type="checkbox"/>	The document(s) listed on the attached art listing form was/were cited in a Search Report issued by a foreign patent office in a related application. A copy of the Search Report (in the English language) is attached hereto for the Examiner's reference.
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<input type="checkbox"/>	This submission is being made after the issuance of a first Office Action and three months after the application filing date. Thus a Certification Under 37 C.F.R. § 1.97(e) is set forth below.
<input type="checkbox"/>	I hereby certify that each item of information contained in this Submission was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Submission (37 C.F.R. § 1.97(e)).
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<input type="checkbox"/>	This submission is being made after the issuance of the first Office Action and without a certification. The fee of \$180.00 under 37 C.F.R. § 1.17(p) is attached.
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EXPRESS MAIL CERTIFICATE

Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label #EL613109285US) in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231-9999, on March 29, 2001:

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Date of Signature

SIW/MM:sks/Enclosure PTO-1449 (with 100 references total)